



# The discoveries of human papilloma viruses that cause cervical cancer and of human immunodeficiency virus

Professor Harald zur Hausen, emeritus Scientific Director and Chairman of the Management Board of the German Cancer Research Center in Heidelberg, has made seminal observations that identify novel human papilloma viruses as key contributors to cervical cancer. Cervical cancer is the second most common cancer among women. Professor zur Hausen's discoveries include detection of novel human papilloma virus types, isolation of the human papilloma virus types 16 and 18 genomes, and expression of specific papilloma virus DNA genes integrated into the tumour host cell genome. These findings have led to an understanding of cervical carcinogenesis, a characterization of the natural history of the human papilloma virus infection, and paved the way for the development of preventive vaccines.

Professor Francoise Barré-Sinoussi, director of the "Regulation of Retroviral Infections" Unit, Virology department at the Institut Pasteur, Paris, and Professor Luc Montagnier, President of the World Foundation for Aids Research and Prevention, Paris, discovered human immunodeficiency virus-1 (HIV-1), the first human lentivirus. They characterized the virus based on its morphological, biochemical and immunological properties and demonstrated the capacity to induce massive virus replication and cell damage to lymphocytes. The initial discovery of Barré-Sinoussi and Montagnier was a basis for subsequent identification of this virus as the aetiological agent of acquired human immunodeficiency syndrome (AIDS). The discovery has led to epidemiologic surveys, tracing of the origin of HIV-1, identification of novel steps in the retroviral replicative cycle and generation of therapeutic as well as prophylactic options.

### The search for an aetiological agent for cervical cancer

Carcinomas of the anogenital tract - particularly cervical cancer - had long been thought to be caused by a sexually transmitted agent (1). A prevailing hypothesis postulated herpes simplex type 2 virus (HSV-2) in the tumour actiology, based on sero-epidemiological data and this was supported by nucleic acid hybridizations and cervical cancer induction in animals (2,3). However, Harald zur Hausen consistently failed to find HSV-2 DNA in cervical cancer cells when applying the in situ hybridization technique, although he had successfully used this method for identification of Epstein-Bar virus in transformed lymphoblastoid cells, Burkitt's lymphoma and nasopharyngeal carcinomas (4-6). He postulated a role of another virus, human papilloma virus (HPV), in cervical cancer (7,8). He thought that human tumour cells, if transformed by a virus, would harbour viral genetic information integrated into the host genome. His "causality criteria" had added to the classical Koch's postulates the criterion that the viral genome should be persistently present and transcriptionally active in the cancer. He suggested that the viral DNA could exist in a non-productive state in the tumours, i.e. not engaged in viral DNA replication or production of virus particles, and thus only be detectable by specifically searching for viral DNA. In 1976 he stated that "The condyloma (genital wart) agent has been entirely neglected thus far in all epidemiological and serological studies relating not only to cervical and penile, but also to vulvar and perianal carcinoma. This is particularly unusual in view of the localization of genital warts, their mode of venereal transmission, the number of reports on malignant transition, and the presence of an agent belonging to a well characterized group of oncogenic DNA viruses"(8). It had been long known among dermatologists that inoculation of extracts from condylomata acuminata and laryngeal papilloma could cause skin warts and

occasional malignant conversion of genital warts into squamous cell carcinomas, usually at vulval and penile sites (9-12).

### The awarded discovery of human papilloma virus causing cervical cancer

In 1974 zur Hausen published his first report at attempting to find HPV DNA in cervical cancer and genital wart biopsies by hybridizing tumour DNA with cRNA obtained from purified plantar wart HPV DNA (5). HPV particles had been detected in plantar warts by electron microscopy, and plantar cRNA was used as a probe since HPV could not be propagated in vitro (10,11). Hybridization was subsequently achieved in biopsies from such plantar warts and from verrucae vulgaris (skin warts), while biopsies from condyloma acuminata and cervix cancer were consistently negative. Since neither tumour type scored positive despite evidence of typical HPV particles in the condylomata lesions, he suspected genetic heterogeneity among HPV virus types (13). Harald zur Hausen's theory of HPV aetiology for cervical cancer was supported by later work by Meisels and Fortin (14), who had described koilocytotic atypical cells as the manifestation of papilloma virus- induced cytopathic change in cervical dysplasia. The subsequent identification of papilloma virus-like particles in such cells by electron microscopy further supported the idea (15). Harald zur Hausen's team subsequently used DNA purified from virus particles of different plantar warts to generate probes that allowed detection of distinct restriction enzyme cleavage patterns in HPV isolates from many patients (Fig. 1) (16-25). This led to the identification of multiple HPV strains 1-3 (16). Another isolate, HPV4 described a year later was clearly different from the first three isolates as it cross hybridized neither to HPV 1-3 nor to DNA from condylomata acuminata or larynx papilloma, further exemplifying the heterogeneity among HPV types (16).



Figure 1. The search for HPV-DNA in cervical tumours was based on subsequent DNA-analyses of samples from skin warts, plantar warts, genital warts, laryngeal papillomas and finally cervical carcinomas. Nucleic-acid hybridization procedures at reduced stringency was used to screen for related but not identical HPV types and led, after further improvement of probes by molecular cloning, to the final discovery of specific papillomavirus types involved in cervical cancer. The hybridized DNA sequences were separated on gel electrophoresis generating specific bands in positive samples (+) (31,33).

Harald zur Hausen continued to apply nucleic acid hybridisation at low stringency conditions to screen for novel HPV types, and developed a cloning procedure that led to the identification of the first genital HPV type, HPV6 (26). DNA from this type was repeatedly isolated from genital warts and condylomata acuminata (26,27) and the virus is today known as the major cause of condylomata. Subsequently, zur Hausen identified the closely related HPV11 from laryngeal papilloma and from genital warts (28). However, this still did not link HPV to cervical cancer. Later, a few malignant tumours, including two samples from invasive cervix carcinoma, showed weak but persistent cross hybridization with HPV11 DNA (29,30). This DNA was cloned and designated HPV16 DNA (31). Subsequently, zur Hausen demonstrated that more than 50% of cervix cancer biopsies scored positive for HPV16 DNA (Fig. 2); in addition, cross-hybridizing vulvar or penile cancers and condylomata acuminata specimens were found. Negative samples from African patients with cervical cancer led to an extensive search for additional HPV types (32). Again, combining low stringency hybridization with a mixture of HPV9,10 and 11 DNA probes resulted in the cloning of HPV18 DNA, while controls from benign tumours, normal cervical tissue and genital warts remained negative (33). It became clear that the typical precursor lesions of anogenital cancer, cervical intraepithelial neoplasias and Bowen's disease also frequently contained the HPV16 or 18 types (32). Harald zur Hausen's research team had now identified the two HPV types most frequently found in cervical cancer: HPV16 and HPV18 DNA are present in 82% of the patients with invasive cervical cancer. DNA from these types was also identified in cell lines derived from various cancers of the cervix (34-38).



Figure 2. Blot hybridization with labeled HPV16 DNA probe. Cellular DNAs prepared from invasively growing cervical carcinomas (lanes 2, 4, 5, 7, and 9), one dysplasia (lane 6), two carcinomas in situ of the cervix (lanes 1 and 5), and one vulval carcinoma (lane 3) were cleaved by BamHl. Hybridization at low stringency (Upper) and high stringency (Lower) Positive hybridization with HPV 16 DNA was evident in samples 1, 2, 4, 5, 7, and 9. Positive reaction in 2, 4, and 7 was seen more clearly after removal of unspecific background at high temperature. (33)

His most important findings were the identification and molecular cloning of the HPV16 and HPV18 genomes, and the associated demonstration that a majority of cervical cancers contained DNA that hybridized under stringent conditions to probes from HPV16 or HPV18 (31-36). His observations demonstrated that; papillomaviruses were etiologically involved in this cancer and infection by more than one HPV type could result in cervical cancer. Finally he demonstrated that parts of the HPV DNA was integrated into the host

genome in cervical cancer cell lines including the HPV16 and 18 viral E6 and E7 genes which were preferentially retained and expressed in the tumors (47). These results provided an opening to study the role of the transfered viral DNA genes in malignant transformation. It showed that viral gene expression of the E6 and E7 genes were required for maintenance of the tumorigenic phenotype and for maintaining the transformed phenotype. These discoveries by Harald zur Hausen led to a paradigm shift in the field. Much new information has been learned through the efforts of many scientists. This is briefly summarized below to indicate the further and continued importance of the discovery.

### Human papilloma viruses

The human papilloma viruses are small, non-enveloped, icosahedral DNA viruses that have a diameter of 52–55 nm. The viral particles contain a single double-stranded DNA molecule of about 8000 base-pairs contained in a capsid composed of 72 pentameric capsomer proteins. The capsid contains two structural proteins — the late L1 and L2 — which are both virally encoded and expressed late in the replication cycle. The genomes of all HPV types contain approximately eight open reading frames (ORFs) that are transcribed from only one DNA strand. The ORF's are classified into three functional parts: the early (E) region that encodes proteins (E1–E7) necessary for viral replication, the late (L) region that encodes the structural proteins (L1–L2) required for virion assembly, and a largely non-coding part that is referred to as the long control region (LCR) which contains cis elements necessary for viral replication and transcription. Today, we know of more than 100 HPV genotypes of which 40 infect the genital tract, and about 15 of these put women at high risk for cervical cancer. In the vast majority of cases, however, the immune system clears HPV infections before they cause harm (39).

### The discovery led to understanding of the HPV pathogenesis

Infection with the HPV16, 18, 31 and 45 types are considered to give a high risk for development of cervical cancer, while HPV6, 11, 42, 43 and 44 are low risk, non-oncogenic types linked to genital warts and nonmalignant lesions (39). HPV infects keratinocytes of the basal cell layer, usually in micro lesions of skin or mucosa. The population of virus-infected cells spreads laterally as they divide, and some cells migrate into the supra basal differentiating cell layers, where viral genes are activated, viral DNA replicates and capsid proteins are formed. Virus particles are released, thus spreading the population of infected cells (Fig. 3) (40). Harald zur Hausen demonstrated that tissue from cervical dysplasia as well as from premalignant bowenoid lesions contains episomal HPV-DNA, and prospective studies showed that approximately 90% of the HPV16 and 18 positive tissues became negative within two years (Fig. 3 and 4) (32, 36, 41,42). Less than 10% of infected individuals develop dysplasia and most of these show a mild form that either regresses or that fails to progress (39). Fifty to 80% of a sexually active population becomes HPV infected during a lifetime (39,40,43). The actual lifelong risk for cervix cancer in those infected is not known and depends on type of HPV infection plus the genetic and immunological background (41,42). Women with a persistent "high-risk" HPV infection (i.e. infected with an oncogenic HPV type) are 300 times more likely to develop high-grade neoplasia (41). Often, such patients lack an effective cell mediated immunity thought to be important for eliminating HPV infected cells. Organ transplant recipients and HIV infected patients also have a more severe and recalcitrant disease, higher viral loads, infections with unusual HPV genotypes and greater propensity for HPV related malignancies, especially cervical cancer among women and anal cancer among men (Fig. 5) (44,45).

### Identification of factors that affect HPV induced malignancy

The majority of cervical cancers develop from squamous cells. Cervical cancer is characterized by a welldefined pre-malignant dysplasia that can be diagnosed by pap smears (Papanicolaou test) i.e. by cytological examination of sampled cervical cells and confirmed by histological analysis of cervical material. Such premalignant changes represent a spectrum of histological abnormalities ranging from CIN1 (mild dysplasia) and CIN2 (moderate dysplasia) to CIN3 (severe dysplasia/carcinoma in situ). Cytological and histological examinations are however insufficient to identify, at this early stage, the few women with abnormal smears that will develop invasive disease (39-42).



Figure 3 illustrates cervical HPV infection and events that lead to progression to cervical cancer. HPV infects basal epithelial cells through micro-abrasions in the cervical epithelium and the early HPV genes (E1, E2, E4, E5, E6 and E7) are expressed as viral DNA replicates from episomal DNA (blue circle). The late genes including L1 are expressed in the upper epithelial layers generating full viral replication. The L1 and L2 proteins encapsulate the viral genomes to form virions. Infection with some of high-risk HPV types can induce progress to high-grade cervical intraepithelial neoplasia. This progression of lesions to micro invasive and invasive cancer is associated with the integration of the HPV genome into the host chromosomes (red nuclei), with associated loss or disruption of some viral genes, and subsequent up regulation of E6 and E7 oncogene expression. (47).

Studies of 3000 women from 25 countries found HPV16 and 18 in >70% of the malignant cases, with only minor geographical differences (39,43). The next five most prevalent types accounted for another 20%, whereas the remaining 10% are caused by a wide variety of HPV types (Fig.6) (43,46). Sensitive PCR assays have since improved the detection of viral DNA, and accumulated epidemiological data have confirmed zur Hausen's original discoveries. Indeed, as much as 99.7% of the cervical cancers studied have been found HPV-positive in some reports (46), which provides compelling evidence for the HPV aetiology of the disease (fig 5). Cervical cancer tissues harboured the viral DNA integrated into the host-cell genome (Fig. 4) (47), with viral sequences encoding the early genes E6 and E7; the disruption of their control region results in a loss of negative-feedback control of the oncogene expression (Fig. 3). Whereas the prevalence of integrated forms of HPV increased as the disease progressed, integration itself was followed by a decrease in viral load. However, integration was found at a single chromosomal site in the tumour cells of almost all cervix cancers examined, consistent with the idea that cervical cancer is a clonal disease.



Figure 4. A, Hybridization pattern of DNA from cervical cancer cell lines with HPV18 DNA as probe that shows that only parts of the HPV18 DNA were present in these cell lines. B, Positive hybridization of HeLa DNA with subgenomic fragments of HPV18 DNA as probes. C, Integration patterns of HPV18 DNA sequences into the cellular genome in HeLa, C4-1 and 756 cells as deduced from hybridization analysis with individual HPV 18 restriction fragments as probes. Cellular DNA sequences are represented by zigzag lines. A restriction endonuclease map of prototype HPV18 DNA is presented above, indicating the cleavage sites for HincII (), Bam HI (**n**) and Eco RI (**o**). Sizes of restriction fragments are given in kb. The 1.15-kb HincII fragment is drawn at both sites of the linearized HPV18 DNA. The arrangement of the open reading frames of HPV6b DNA is given on top. Thus, HPV18 DNA is integrated and amplified in these cell lines. (47)

The E6 and E7 genes of the virus types found in cervical cancer were expressed from viral DNA integrated in the tumour cell genome (Fig. 4) (38,47). This was observed in primary biopsy specimens from these cancers and in most cell lines derived from the cancers. Subsequent in vitro experiments showed that the two oncogenes of high risk, anogenital HPV modified a large set of cellular genes, by changing their expression pattern by functionally inactivating them or by targeting those for degradation (48,49). Thus, integration of HPV-DNA into the cellular DNA is considered to play a central role in the induction and maintenance of the transformed cells.

When transfected, the E6 and E7 genes of the high risk HPV types immortalize human keratinocytes, in contrast to low risk strains (50). The E6 protein binds p53 and abrogates its tumour suppressive and transcriptional properties (51) by promoting ubiquitination of p53 and its subsequent proteolysis through interaction with the E6AP ubiquitin-protein ligase (52). The E7 protein interacts with the retinoblastoma susceptibility gene product pRb and related proteins (53). As a consequence, it releases the transcriptional activator E2F from a complex with Rb, thereby allowing E2F to activate genes engaged in cell cycle progression. E6 also targets other proteins including the focal adhesion protein paxillin (54), the interferon regulatory factor 3 thus blocking viral

induction of IFN- $\beta$ , and renders the malignant cells non-responsive to TNF- $\alpha$  mediated changes in the AP-1 complex (55,56).

The above illustrates the multifunctionality of many viral oncoproteins,: they impair a multitude of cellular functions that aim to suppress tumour cell functions such as DNA repair and programmed cells death, a property that eventually leads to progression of the carcinogenic state and malign tumours. Thus, mutations causing chromosomal alterations, loss of heterozygosity, and proto-oncogene and telomerase activation are thought to have important roles in the virus-induced cervical carcinogenesis (57-60).



Figure 5. Annual number of cancers worldwide. The fraction of cancers estimated to be induced by HPV types is shown in red. zur Hausen demonstrated that sexually transmitted HPV also causes a major part of anal cancers and some cancers of the larynx(61).

#### The discovery led to new hope for cancer prevention

Cervical cancer is the second most common life-threatening cancer among women. About 500,000 new cases of cervical cancer are diagnosed every year with a 10-fold higher incidence in developing countries, resulting in 250,000 deaths (39,61,62). It affects about 1 per 123 women and kills about 9 per 100,000 per year. Increased development of cervical carcinoma has been associated with; 1) early age of sexual debut; 2) multiple sexual partners; 3) poor sexual hygiene; 4) poverty; 5) immunosuppressed state of the host; 6) carcinogenic co-factors; 7) genetic background and 8) epidemiologic data (39-41). Harald zur Hausen's discovery of a restricted number of HPV types as the aetiological cause of cervical carcinoma led to a hope for prophylactic vaccines. Experiments made in dogs and rabbits using purified papilloma virus structural proteins — that spontaneously assembled into virus like particles (VLPs) - resulted in effective protection against the primary infection. This provided the background for further development of vaccines against human high-risk HPV types based on the synthesis and self-assembly of the major virus capsid protein, L1. Studies by Schiller and Lowy in the early 1990s showed that expression of the HPV's L1 protein in baculovirus or yeast led to the self-assembly of VLPs (63). These empty shells of L1 containing capsids mimicked HPV's shape, and neutralizing anti-VLP antibody responses in VLP-immunized women were up to 10 fold greater than those identified in natural infections (64). A quadrivalent vaccine available today includes immunogens for HPV types 6, 11, 16 and 18, and has been shown to be effective at protecting against HPV6 and 11 condylomas as well as HPV16- and 18-related cervical intraepithelial neoplasia (CIN) stage 2/3 (high grade cervical intraepithelial neoplasia, a precursor of invasive

cervical cancer) in HPV naïve women. The vaccine has also prevented anogenital condylomata and vaginal/vulval dysplasias in HPV naïve volunteers. A divalent HPV VLP vaccine has also been developed and includes L1 proteins from HPV types 16 and 18. Both vaccines have been licensed, appear to have few side effects, and have generated >90% protection in phase-III trials (65,66). These two HPV vaccines potentially provide protection against the two types of HPV that cause approximately 70% of invasive cervical cancers worldwide (Fig. 6) (67). However, discoveries whether these vaccines are effective in preventing not only against cervical lesions but also cervical cancer and death must await the collection of epidemiological data during the coming decades (68). In addition, the duration of the vaccine's protection is unclear: do they provide life-long immunity or will booster doses be needed? Since the vaccines aim to protect against the two virus strains that cause 70% of the cervical cancers, will other strains emerge as a major cause of the tumours?



Figure 6. The cumulative frequency of HPV genotypes present in cervical cancer. Orange bars indicate the relative contribution to cervical cancer of two HPV16 and 18 types discovered by zur Hausen. Later work by other groups added new HPV types so that the high risk HPV types in total now encompass 99,7% of all cervical cancers. The violet bars indicate the percentage of cervical cancer cases attributed to each new HPV genotype (67).

### The discovery of human immunodeficiency virus (HIV)

### A new pandemic of acquired immune impairment

In 1981 a new serious medical syndrome was described in California and New York (69). The report identified clusters of previously healthy young men who suffered from different life threatening medical conditions previously not seen in this population. A task force led by the Centers for Disease Control (CDC) was set up, and defined the disease as the "Acquired Immune Deficiency Syndrome" (AIDS) (70). It established that the syndrome was new and that the number of cases increased rapidly. A huge epidemiological survey initiated by

CDC in 1982 concluded that the AIDS syndrome had spread globally. A subset of the population at particular risk for this syndrome appeared to be homosexual males and intravenous drug users, but there were also some cases in heterosexuals, haemophiliacs and immigrants from Haiti. The immunodeficiency was associated with rapid elimination of CD4<sup>+</sup> T cells and antigen presenting cells (71). The clinical AIDS spectrum was defined as repeated opportunistic infections, specific malignancies and autoimmune phenomena occurring in previously healthy adults with no history of inherited disorders. Patients acquired specific tumours and opportunistic infections with pneumocystis jiroveci, Candida species, Cryptococcus, Aspergillus, Toxoplasma, Mycobacterium avium, Herpes virus, Varicella or Cytomegalovirus (72-74). Mycobacterium tuberculosis infection was a predominant cause of death together with Pneumocystis jiroveci pneumonia. Malignancies associated with AIDS included an aggressive type of Kaposi's sarcoma caused by human Herpes virus 8, EBVassociated lymphoma, HPV-induced cervical cancer, and Hodgkin's disease (75). The disorder also manifested as slim disease due to chronic incurable diarrhoea, particularly in Africa. T lymphocyte hypo-responsiveness and imbalance of T helper and suppressor cells was a hallmark and appeared to predispose to the opportunistic infections and the spectrum of malignancies (71). Epidemiological studies had already been established that AIDS was transmitted sexually, via placenta to foetuses and via transfusion by plasma and coagulation products (76). However, it was initially not obvious that AIDS was one disease entity and that the symptoms which involved almost all organ systems could have one single cause. Nevertheless at the end of 1982 several virus laboratories attempted to find a cause for the AIDS syndrome.

# The awarded discovery of lymphadenopathy associated virus (LAV)/human immunodeficiency virus (HIV)

A number of pieces of evidence pointed towards a retroviral origin for the acquired immune deficiency; the clusters of patients affected, the transmission via filtered blood products and the establishment of loss of CD4 T helper lymphocytes. A working group led by Francoise Barré-Sinoussi and Luc Montagnier at the Viral Oncology Unit at Institut Pasteur, Paris, collaborated with clinicians in order to explore this hypothesis. In 1983 Barré-Sinoussi and Montagnier isolated lymph node cells from patients with lymphadenopathy and early signs of acquired immune defect. Barré-Sinoussi and Montagnier therefore decided to regularly check for virus by analysing lymphocytes from patients at the early stage of infection that should still have CD4+ T cells. Furthermore, it was clear that such individuals often had a generalized lymphadenopathy that preceded AIDS, which potentially would allow detection of the virus at this site. Thereby starts the process that led to the discovery awarded the Nobel Prize.

They cultured purified lymphocytes from such patients in vitro in the presence of the phytohaemagglutinin (PHA)-mitogen, interleukin-2 (IL-2) and anti-interferon- $\alpha$  in order to allow T cell proliferation in the search for a potential viral aetiology. The profound depletion of CD4+ T lymphocytes in affected individuals indicated that these cells could be targets and destroyed as a consequence of the infection. Supernatants from cultured lymphocytes were shown to contain reverse transcriptase (RT) activity (77,78), using the Mg<sup>2+</sup> and polyadenylate-oligodeoxythymidylate conditions also described for HTLV-I (79,80). The RT activity was not found in the presence of Mn<sup>2+</sup>, indicating that the activity was caused by a mammalian retrovirus. Detection of the RT activity was dependent on continuous addition of fresh mononuclear cells from normal blood donors, hinting that there was virus-induced cell death in the cultures (Fig. 7). Electron microscopy subsequently identified typical 90-130 nm large retroviral particles budding from cell membranes (Fig. 7). The virus particles had a density of 1.16 in sucrose gradients, characteristic of most retroviruse. Cell free supernatants from these

cultures transferred the virus to umbilical cord blood and to mononuclear cells from healthy donors. Antibodies to the virus were identified in sera from patients by immunofluorescence analysis. Patient antisera specifically precipitated a 25-kDa protein (now called p24<sup>Gag</sup>) from radio-labelled virus. The protein did not react with type-specific antisera to the HTLV-1 p19 and p24 proteins, suggesting a new, previously unidentified retrovirus. The clinical isolate had, unlike HTLV, no transforming activity on T lymphocytes. Barré-Sinoussi and Montagnier concluded that they had discovered a new human, non-transforming retrovirus containing a major p25 protein, similar to that of HTLV-I but with different antigenic properties. Cultured cells from patients generated extracellular release of viral particles that could infect lymphocytes from healthy adults and newborns. This virus isolate was called lymphadenopathy associated virus (LAV) in 1983 (Fig. 7) (81).



Figure 7. Virus production was detected by reverse transcriptase (RT) enzyme activity in supernatants from cultured and activated lymphocytes obtained from a lymph node from a patient with lymphadenopathy (81). Supernatants from cell cultures were analysed by RT activity that was found in T4+ but not T8+ lymphocytes (left image) (89). Furthermore, electron microscopy of cultured cells demonstrated virus particles of lentivirus type that budded from infected cells. (81,89).

Barré-Sinoussi and Montagnier also studied a pair of siblings with haemophilia B (treated with factor VIII). One brother was healthy but the other had symptoms of AIDS (Kaposi's sarcoma). A virus similar to LAV was isolated in both cases with a typical lentivirus D-type of morphology, with cylindrical conical core (Fig. 7) distinct from the large spherical cores of HTLV-I and HTLV-II (78). They called this virus immunodeficiency associated virus (IDAV-1 and IDAV-2, respectively). The IDAV-1 and IDAV-2 had a core p25 protein identical to that of LAV as assessed by specific antisera. They also isolated virus from peripheral blood obtained from diseased patients and demonstrated that this virus had a cytopathic effect that could promote giant cell formation in cultured infected T lymphocytes (82).

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16

Figure 8. Radioimmuno- precipitation assay of patient antibodies to LAV p25. Produced virus was metabolically labelled with [355] methionine (molecular size markers in kilodaltons). Patient samples; 1, 4, 6, 9, 10, 13, 15, and 16 are highly positive sera; (lanes 2, 5, 12) weakly positive sera; and (lanes 3, 7, 8, 11, and 14) negative sera. The main protein specifically precipitated is p25. (85)

Furthermore, antibodies to their new virus were detected in individuals at risk for AIDS as well as those with AIDS and AIDS-related conditions but not in patients with other diseases, supporting the concept that LAV/IDAV was crucial to the disease (Fig.8) (83-85). In subsequent studies Barré-Sinoussi and Montagnier isolated the same type of virus from sexually infected individuals, haemophiliacs and blood transfused patients; evidence was also found for mother to child transmission (84-88).

Thus, within one year Barré-Sinoussi's and Montagnier's group had characterized a virus from pre-AIDS and AIDS cases that had the following unique properties: a 90-130 nm sized retrovirus with cylindrical core (D-type) of lentivirus type that targeted CD4+ T cells. It showed cytopathic effects in T cells plus an extensive virus replication that led to cell free virus transmission. It did not cause cell transformation and had a unique p24 protein and his clearly separated the LAV/IDAV virus from HTLV-I and –II (89-92). The discovery was

accepted by the research community and resulted in an explosion of scientific breakthroughs as illustrated below.

# Contributions of more lentivirus clinical isolates by other research groups and defining the role for the virus in the acquired immunodeficiency syndrome, (AIDS)

Professor Robert Gallo's group at NIH described the detection of a novel HTLV-like virus from a vast number of patients with AIDS or pre-AIDS in 1984 (93). The virus shared some properties with HTLV-1 and HTLV-2 and was denoted HTLV-III; however, it showed considerable similarities with LAV-1. By using newly developed immunological reagents and techniques, antibodies against HTLV-III proteins were found in a majority of AIDS and pre-AIDS cases and in clusters of homosexual men (94-96). Professor Jay Levy's group in San Francisco also identified a D-type retrovirus, of the lentivirus group, which was structurally related to LAV-1 and HTLV-III, from AIDS patients and patients with lymphadenopathy. The virus was denoted AIDS-associated retroviruses (ARV) (97). The American and French teams agreed later that LAV-1/IADV-1/HTLV-III and ARV was the same type of virus (Fig 9). An international virus taxonomy consortium chose in 1985 to name the virus Human Immunodeficiency Virus type 1, or HIV-1 (108-110).

The virus belongs to the lentivirus subfamily of retroviruses which is distinct from the previously identified human retroviruses, the oncogenic leukaemia viruses HTLV-I and II. Lentiviruses group contain viruses that cause persistent viremias in monkeys, cats, horses, cattle and sheep. Extensive seroepidemiological work by several research groups led by Robert Gallo, Luc Montagnier, Max Essex, William Haseltine, Jay Levy and Robin Weiss further confirmed the causative role of the virus in the development of acquired immunodeficiency syndrome, AIDS (98-103).



Figure 9. The identification of the CD4 molecule as the receptor for the virus was made by Montagnier, Dalgleish and Weiss (104,105). In 1985 the nucleotide sequence of the full AIDS virus genome was established independently by the Pasteur Institute, the NCI/NIH, at Genentech Inc. and at Chiron Corporation (106,107). Sequence analyses of several isolates confirmed a complex highly variable genetic structure. Retroviruses have a unique replication cycle: the genetic information is encoded by RNA and the viral reverse transcriptase generates a DNA copy from the viral RNA in the host cell. The retrovirus family consists of the Oncovirus (including HTLV-I & -II), Lentivirus (including HIV-1 &-2) and Spumavirus also called foamy virus and the so far considered non-pathogenic, Endogenous retrovirus. The HIV-1 consists of 9 genes encoding 15 proteins. (Images from, Harrison's, Principles of Internal Medicine, 2005 page1077)

### Detection of the origin of HIV and understanding the epidemiology of AIDS

Viral gene trees can deliver powerful insights into ecological and evolutionary processes. Such phylogenetic analysis of HIV-1 has traced its origin to the simian retrovirus SIVcpz, which has been found in chimpanzee colonies in southern Cameroon (Fig 10) (111). It is thought that SIVcpz was passed to human hunters from captured chimpanzees, and that the animal virus during its replication in humans underwent many genetic changes to evolve into a human, highly transmissible pathogen that causes AIDS. The origin of the current HIV pandemic has been a subject of great interest and speculation. Viral archaeology sheds light on the geography and timescale of the early diversification of HIV-1 in humans. A lymph node biopsy taken in 1960 from an adult female in Kinshasa, have been compared for HIV gene sequences with a virus characterized from a plasma sample from a nearby village from 1959 and made it possible to performe the first evolutionary analysis of pre-AIDS 'fossil' HIV-1 sequences. The analysis supports the idea that diversification of HIV-1 in west-central Africa occurred long before the recognized AIDS pandemic (112). It estimates that the date of that common ancestor is between 1902 and 1921. Independent transmission events occurred between 1902 and 1941 (112, 113), which spawned three HIV-1 groups: the major (M), the outlier (O) and the nonmajor outlier (N) categories.

Strains related to the M and N groups have been found in chimpanzees; however, recent evidence suggests that group O HIV-1 may have originated in gorillas (114). The virus is believed to have spread among humans along the Congo River into Zaire (Fig. 10).



Figure 10. The initial spread of HIV-1 from Kinshasa to east, south and west Africa (111,112) and the phylogeneic tree of the envelope gene that demonstrates HIV spread from Africa to Haiti and then to USA (right) (116).

The earliest documented human case of HIV-1 infection, with the M strain, has been traced to a blood sample from 1959 (115).

The three groups of HIV-1 have major genetic differences. Most HIV-1 infections are caused by group M viruses, and these are divided into 9 subtypes known as clades (A–D, F–H, J, and K). The viral genomic sequences can differ by 15%–20% in distinct clades. The most common clade in the Americas, Europe, and Australia is clade B, whereas clade C predominates in the most severely affected part of the world, southern Africa. The cumulative number of individuals infected with HIV-1 since the pandemic began exceeds 60

million people, and deaths due to AIDS have been estimated to 25 million. At the end of 2007, the Joint United Nations Programme on HIV/AIDS (UNAIDS) and the WHO calculated that there were 33.2 million people living with HIV-1, that 2.5 million individuals were newly infected with HIV-1 in 2007, and that 2.1 million people died of AIDS in that year (Fig. 11). There has also been a strong increase in the number of women infected with HIV-1 through heterosexual contact.



Figure 11. The image shows the epidemiologic spread of the 33.2 million individuals currently living with HIV-1 according to UNAIDS (134).

As of 2007, approximately 61% of individuals infected with HIV-1 in Africa were women. Almost 90% of the children infected with HIV-1 live in Africa. Overall, the global prevalence of HIV-1 is reaching a 1%, a level that strongly suggests a continued, high prevalence of AIDS in the decades to come (117).

### **Unravelling HIV-induced pathogenesis**

Both free and cell-associated HIV-1 can establish a mucosal infection. In the first hours of infection, virus and/or infected cells cross the cervicovaginal/penile foreskin mucosal barrier (Fig. 12). Infection starts by the gp120 envelope binding in trimeric form to the CD4 molecule, which results in a conformational change in gp120 that facilitates binding to a co-receptor and that causes the virus to fuse with the cell (118). The molecular architecture of native HIV-1 gp120 has been crystallized (118). There are two major co-receptors, CCR5 and CXC4 are primary receptors for chemokines. Certain dendritic cells express C-type lectin receptors that also bind the gp120 envelope protein with high affinity without resulting in viral replication. Productive infection mainly occurs in "resting" CD4+CD45+RO+T cells which can support viral replication because they are the most numerous target cells in the lamina propria (119). After proviral integration, virus production can occur in susceptible CD4+ T cells, macrophages and dendritic cells in the lamina propria (119). Expansion of infection from small founder populations of infected cells in the lamina propria subsequently spreads virus and infected cells, first to the draining lymph nodes and later systemically, to maintain virus production especially in the lamina propria of the gut associated lymphatic tissue (Figure 12) (120). Within six days after virus exposure, HIV overcomes the body's initial defenses, ultimately resulting in an exponential viral burst. Immune responses are activated, but neutralizing HIV antibodies do not occur before 4 months after primary infection and insufficient to eradicate infection. Immune activation and inflammation supplies additional activated CD4+ T cells, which both sustain infection and elicit an immunosuppressive response that blunts host defences.

Although increasing numbers of cytotoxic T lymphocytes (CTLs) partially control infection they do not prevent, in the absence of therapy, the slow and continued depletion of CD4+ T cells that is responsible for the occurrence of the immune deficiency that eventually leads to AIDS. Without treatment, about 9 out of every 10 persons with HIV will progress to AIDS within 10-15 years.

HIV-1 has developed a number of mechanisms to elude immune control. The most prominent of these is the extensive glycosylation of the external envelope glycoprotein, which protects neutralization epitopes. Furthermore, the viral targeting of the CD4 molecule results in CD4 helper T cell death, and HIV integration into the host-cell genome, which indicates that surviving cells are infected permanently. Another important factor is that the viral RNA replication cycle lacks normal proof-reading machinery; the viral genome therefore mutates at high rate to escape the host immune system (117).



Figure 12. Mucosal transmission of HIV. Virus penetrates the epithelial lining via microtubules. Next it binds to C type lectin receptors on DC or to CD4/CCR5 receptors on DC or CD4+ T lymphocytes. The latter results in intracellular virus uptake and replication that predominantly occurs in the CD4+ T cells in mucosal lamina propria. Dissemination and exponential burst is caused by the HIV spreading to lamina propria in the gut and to lymph nodes. Virus associated elimination of CD4+ T cells subsequently results in immune deficiency (120).

### Understanding mechanisms for host defence in HIV-1 infection

During acute HIV infection in adults, a peak of  $\sim 10^7$  HIV RNAs/ml plasma is followed by a marked decline over a few weeks to around 30,000 RNAs/ml concomitantly with the appearance of HIV-specific CD8+ cytotoxic T lymphocyte (CTL) responses suggesting that CTLs may be responsible for reducing virus levels (121-127). Furthermore, blocking these cells by administration of CD8-specific monoclonal antibodies prohibits the immune mediated reduction in viral load. Persistent control of HIV-1 infection is associated with the presence of CD8+ T cells that are capable of producing not only IFN $\gamma$  following contact with the cognatepeptide–MHC complex, but also other cytokines, such as IL-2 and chemokines, including RANTES and MIP-1 $\alpha$  which are capable of blocking viral entry through occupancy of the HIV co-receptor CCR5. HIV-specific CD8+ T cells also release granzyme and perforin, which are capable of mediating cytotoxicity. Typically, HIVspecific CD8<sup>+</sup> T cells from infected individuals who have low viral loads are polyfunctional and can carry out most or all of these effector functions (126). Conversely, HIV-infected subjects with high viral loads often have HIV-specific CD8+ T cells that are capable of only some of the normal effector functions and, at the extreme of the spectrum, their dysfunctionality might be reflected by the expression of PD1 (programmed cell death 1), a marker of T cell exhaustion. Proliferative capacity of both CD4<sup>+</sup> and CD8<sup>+</sup> T cells are also associated with control. In addition, genetic regulation of CCL3L1 (MIP-1 $\alpha$ P) and CCR5 have been demonstrated to be important because they regulate CTL activity and other viral entry dependent mechanisms (128). By binding to CCR5 and promoting its sequestration, CCL3L1 can block HIV replication.

An apparently persistent suppression of viral replication coupled to no drop in CD4+ T cells occurs in about one of 300 persons infected with HIV (elite controllers). It is striking that, in a recent whole-genome association study of key determinants for host control of HIV-1, the six most significant protective determinants were within the MHC region. It is evident that in HIV infection, HLA-B molecules have a stronger impact on viral setpoint than HLA-A and HLA-C. A gag-specific but not env-specific CD8<sup>+</sup> T-cell response was protective against disease progression (128). Therefore, it is not just the number of Gag epitopes presented by each allele that is important, but also the ability of the Gag-specific CD8<sup>+</sup> T-cell response to drive the selection of escape mutations into less fit virus that have an impact on viral replication (128). On the other side, uncontrolled HIV replication cause non HIV specific immune activation and chronic inflammation that are strongly associated with acquiring opportunistic cancers, chronic virus infections or Mycobacterium tuberculosis as well as end-organ damage, including cardiovascular, hepatic and renal dysfunction.

### Development of antiretroviral drugs

The discovery of HIV allowed for a rapid dissection of the viral replication cycle (Fig 13) (129). Drug developers initially focused on finding inhibitors to the viral reverse transcriptase, and the nucleoside analogue, azidothymidine was already on the market by 1987. Further research produced a large number of different drugs targeting the HIV encoded reverse transcriptase (Fig 13). It rapidly became clear that the ability of HIV-1 to generate drug-resistant mutants meant that therapy would require a combination of agents affecting different proteins involved in viral replication (130, 131). In order to develop antiretroviral therapy (ART) subsequent development was focused on the protease enzyme that was successfully exploited for novel antiviral drugs. The protease cleaves viral pro-polypeptides into final proteins. The most recent developments include integrase inhibitors (2007) and viral entry inhibitors including CCR5 receptor antagonists (2008) as well as fusion inhibitors (128). The successful development of these compounds allows combination therapy that has dramatically increased the life expectancy of AIDS patients in developed countries (131, 132). The antiretroviral treatment provides several beneficial effects: reduction of the virus load with a concomitant reduction in virus spread, a cessation of T cell death, and therefore also the re-emergence of a functional immune system. The development of these compounds was highly dependent on the molecular cloning of the viral genome, which was a prerequisite for performing modern high throughput screening for new drug targets at research centres and within the pharmaceutical industries. The present antiretroviral drugs are suppressive, and they cannot cure infection with HIV-1. However, successful antiretroviral therapy results in life

expectancies for persons with HIV infection now reaching similar levels to those of uninfected people. Currently, 3 million people are being treated with anti-retroviral drugs (133-135). Unfortunately this has not brought the epidemic under control and approximately 2.5 individuals become infected with HIV-1 for each new person started on ART (136). Furthermore, WHO has estimated that >6 million people would require treatment globally and set the ambitious target of providing treatment to additional 3 million people in resource-poor settings (135-137).



Figure 13. The image illustrates the HIV-1 replication cycle. This includes the initial fusion between the virus particle and the cell membrane, viral RNA transcription to viral DNA by HIV reverse transcriptase, viral DNA integration into the host genome by viral integrase and the formation of virus particles by viral protease resulting in cleavage of the polyprotein in order to form mature viral particles that bud from the cell membrane. These HIV specific steps and virus proteins are targets for anti-retroviral drugs.

### Investigating how protection from infection is maintained

Resistance to infection reflects some combination of genetic factors, innate resistance, and a probably very rare acquired immune control. Genetic studies have demonstrated that a deletion in the CCR5 gene (CCR5d32 deletion) provides substantial protection from HIV-1 infection (137). Members of the APOBEC (apolipoprotein B mRNA-editing catalytic polypeptide) family belong to cellular cytidine deaminases that represent a recently identified group of proteins induced by IFN $\alpha$  that protect from infection by retroviruses (139). Yet, HIV-1 is

largely immune to the intrinsic antiviral effects of APOBEC proteins because it encodes a unique Vif gene (viral infectivity factor), an accessory protein that is critical for in vivo replication of HIV-1 due to VIF's ability to inactivate APOBEC (140). Another intercellular antiviral factor, the tripartite motif–containing 5- $\alpha$  (TRIM5- $\alpha$ ) gene, inhibits the ability of different retroviruses to infect human and monkey cells by blocking virus capsid uncoating (141). HIV-1 infection is restricted by the old world monkey TRIM5- $\alpha$  gene, but not by the human counterpart explaining why HIV can infect CD4+ T cells (142). Cellular miRNA can also interfere with HIV replication; however, HIV-1 can modify miRNA expression profiles in the infected cell (143). Several chemokines including, RANTES, MIP-1 $\alpha/\beta$  and antimicrobial peptides such as  $\alpha/\beta$ -defensins and LL 37 also inhibit HIV-1 replication locally. Thus, a number of innate mechanisms are of central importance for protection against HIV-1.

Furthermore, neutralizing IgA in the genital tract clearly contributes to protection against HIV-1. However, there are indications that these IgA antibodies are predominantly directed against host specific epitopes involved in virus binding and fusion, and it remains to be determined if these are natural or of acquired origin.

Medical attempts to induce protection include male circumcision, and randomized, controlled clinical trials have demonstrated the efficacy of this in reducing female-to-male transmission of HIV-1 by 50%–60% (144). To halt the present pandemic, a vaccine that protects from HIV-1 infection is urgently needed. An absolute worldwide commitment to this goal has been established; however, attempts to develop a protective vaccine have been severely compromised by our incomplete understanding of HIV-1 protective immunity. Furthermore, safe vaccine prototypes have so far failed to induce a sufficiently potent immune response against HIV-1 (145).

### Conclusions

The global public health burden attributable to human papilloma viruses is considerable. Infection by the human papilloma virus is the most common sexually transmitted disease, afflicting 50-80% of the population. zur Hausen was first to recognize and demonstrate the association between cervical cancer and a subset of previously unknown genital human papilloma viruses. He unravelled the heterogeneity of the papilloma virus family, the genotype specificity in diseased individuals, the lack of productive viral replication, and the persistence of integrated viral DNA in tumour cells. This has led to an understanding of mechanisms for papilloma virus-induced carcinogenesis and the predisposing factors for viral persistence and cellular transformation. More than 5% of all cancers worldwide are caused by persistent infection with this virus. In addition to cervical cancer, other malignancies in both men and women such as oesophageal, oropharyngeal, penile and anal cancer are associated with this virus. Pooled data from case–control studies indicates that human papilloma virus can be detected in 99.7% of women with histologically confirmed cervical cancer. The discoveries by zur Hausen have laid the foundation for subsequent development of vaccines against the high-risk papilloma viruses that cause about 70% of cervical cancers. The vaccines may also prevent unnecessary surgical interventions aimed to treat precancerous lesions and may substantially reduce the global economic and social burden of cervical cancer.

The discovery of Barré-Sinoussi and Montagnier of a novel lentivirus made it possible to perform molecular cloning of HIV-1. This has allowed unravelling of important details of its replication cycle and how the virus interacts with its host. This led quickly to the development of diagnostic tools for identification of patients and screening of blood products, which has limited the spread of the pandemic. The unprecedented development of

several classes of new antiviral drugs is also a result of elucidating the details of the viral replication cycle. The combination of prevention and treatment has resulted in a substantial decrease in disease spread and longer life expectancies in developed countries. Despite these advances, considerable problems persist. Firstly, global access to and use of effective interventions remain limited in the developing world. Secondly, progress in HIV-1 vaccine development is restricted by an incomplete understanding of immunity to HIV-1 infection and induction of potent protective immune responses. Lastly, we have no means to cure infection with HIV-1 due to the inherent difficulties in eliminating either the provirus in infected cells or the cells themselves, as AIDS patients carry a latent pool of cells with the potential to start producing viruses also after long dormant periods. Today, 27 years after identifying the AIDS syndrome and 25 years after HIV-1 was discovered, we have gained remarkable insight into this new pandemic.

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